



Providence College
Office of Institutional Advancement
1 Cunningham Square, Providence RI 02918
401-865-2414 Phone
401-865-2966 Fax

Giving a Gift of Securities to Providence College

Gifts of Securities, such as stocks, mutual fund shares, or other appreciated assets can be a tax-efficient method for making a gift to Providence College. In most instances, you can typically take a tax deduction for the full market value of the gift and avoid any capital gains tax. Here is a quick guide to ensure the successful execution of your unrestricted, publicly traded securities.

If you physically hold certificates complete only the signature section on the reverse side of the stock certificate and send to:

Christy McCallum
 Providence College
 Office of Institutional Advancement
 1 Cunningham Square
 Providence, RI 02918

If the stock is held in a brokerage account, simply instruct your broker to electronically transfer the stock to:

UBS (Paine Webber)
DTC #221
Account #RH07039-74
Providence College
Attn: Chris Hall (or Doug Bennett)
(401) 455-6777

OR

Merrill Lynch
DTC #5198
Account #880-04239
Providence College
Attn: Peggy Warnken (or Chris Holloway)
(860) 447-7440

Notify Christy McCallum of your gift by faxing a copy of the transfer instructions or this statement to 401-865-2966. If you are transferring mutual funds, restricted stocks, or closely held securities, contact Christy McCallum at 401-865-2845.

*It is important to note the name of Providence College. Please make sure your broker includes **Providence Collgge** and your name in the transfer information so that we may credit you promptly and properly.

In all cases, please complete the information below in advance of your transfer and fax it to us at 401-865- 2966. If unable to fax this information to us, please contact E j t k w ' O e E c m w o at 401-865-2: 67, to provide us with the information by telephone.

Name of Donor

Date

Home Address

Name of Broker

City State Zip

Name of Security and # Shares

Daytime Phone Number Fax Number

Transfer Brokerage Firm

Email

Designation